Lively

In-Kind Account Transfer Form

950 Mason St. #1355
San Francisco, CA 94108
(888) 576-4837

Please provide all of the following information in order to avoid delays in processing. Complete this form and attach using a secure message found in your Account Holder dashboard. If you have questions, please call us at 1(888) 576-4837, Monday-Friday 6am-6pm PT.

1. REVIEW THESE GUIDELINES TO MAKE SURE YOUR TRANSFER CAN BE PROCESSED

To expedite your account transfer, the name/title on the account to be transferred must match the name/title on your receiving Schwab Health Savings Brokerage Account ("Schwab HSBA"). Please read the important information below.

Transfer Time Frames

After receipt of the In-Kind Account Transfer form and confirming all information has been provided, Lively will provide the completed form to Schwab within three business days. Account transfers may be sent via Automated Customer Account Transfer Service (ACATS), which take approximately five business days after the completed transfer forms are received by Schwab. Any residual balances that remain with the delivery brokerage firm after your transfer is completed will follow in approximately 10 to 20 business days. If you have any questions regarding residual sweeps, please contact the transferor firm directly.

Generally, transfers that cannot be accomplished via ACATS take approximately three to six weeks to complete, although this time frame is dependent upon the transferor firm and may take longer.

Mutual Funds

Some mutual funds cannot be held at all brokerage firms. This typically applies to proprietary and money market funds. These funds will need to be liquidated prior to transferring them. For more information, please contact the transferor firm directly.

Money Market Funds

Money market funds must be converted to cash.

Non-Standard Assets

Non-standard assets—such as limited partnerships and private placements—will incur additional fees to transfer and hold the assets. Please contact your broker/dealer if you have any questions regarding the fees involved.

2. PROVIDE INFORMATION ABOUT YOUR SCHWAB HEALTH SAVINGS BROKERAGE ACCOUNT

If you do not have a Schwab HSBA, you must complete account set-up from within your Lively account.

Schwab HSBA Account Number	Account Name/Title (as it appears on the Schwab HSBA account)

3. PROVIDE INFORMATION ABOUT THE ACCOUNT TO BE TRANSFERRED

You must include a copy of the most recent statement of the account you are transferring with this form. You may also want to refer to it when completing this section.

Account Number

Account Name/Title
(as it appears on your most recent statement)

☐ Please mark the box to confirm the account type is a Health Savings Account

Name of the HSA Administrator from which you are transferring assets

Mailing Address of the HSA Administrator from which you are transferring assets

City | State | Zip Code | Phone Number

4. TELL US WHICH ASSETS YOU ARE TRANSFERRING

Account Transfer Guidelines

- Proprietary mutual funds need to be liquidated.
- Foreign securities may be charged additional fees, including a holding fee.
- Non-standard assets—such as limited partnerships and private placements—will be charged additional fees.
- Money market funds must be converted to cash.

◉ **This is a Total Transfer from a brokerage account.** (If you check this box you can skip to section 5.)

○ **This is a Partial Transfer—only transfer the assets listed below:**

If transferring cash, please specify the dollar amount: $

Asset Description and/or Stock Symbol	Number of Whole Shares	Asset Description and/or Stock Symbol	Number of Whole Shares

Additional assets can be requested by attaching an additional list of positions, including stock symbol and number of shares to be transferred.

☐ **Transfer from a Mutual Fund Company**

You may choose to **either transfer or liquidate** your shares. Money market funds must be liquidated and transferred as cash. You must complete a separate transfer form for each mutual fund company from which you are transferring.

Please indicate the current dividend/gain options on the mutual funds chosen for transfer (this information will not alter the dividend/gain options on existing brokerage account mutual fund assets).

Fund Company Name ______ Account Number/Fund Number ______ Fund Name ______

Instructions for transferred shares' dividends/capital gains: ☐ Reinvest ☐ Pay in Cash

Transfer (check one): ☐ All shares ☐ Only _____ Shares

-OR-

Liquidate (check one): ☐ All shares ☐ Only this cash value $__________

Fund Company Name ______ Account Number/Fund Number ______ Fund Name ______

Instructions for transferred shares' dividends/capital gains: ☐ Reinvest ☐ Pay in Cash

Transfer (check one): ☐ All shares ☐ Only _____ Shares

-OR-

Liquidate (check one): ☐ All shares ☐ Only this cash value $__________

Fund Company Name ______ Account Number/Fund Number ______ Fund Name ______

Instructions for transferred shares' dividends/capital gains: ☐ Reinvest ☐ Pay in Cash

Transfer (check one): ☐ All shares ☐ Only _____ Shares

-OR-

Liquidate (check one): ☐ All shares ☐ Only this cash value $__________

Account Transfer Guidelines

☐ Redeem my CD immediately

______ Please initial — I am aware of, and acknowledge that I am responsible for, any penalties that I may incur from any early withdrawal.

☐ Redeem my CD at maturity ______ Maturity date

______ Please initial — Submit request at least 21 days prior to maturity. Please advise your bank not to roll the CD over to a new term.

5. TRANSFER AGREEMENT

I instruct Charles Schwab & Co. Inc. (the "Clearing Firm") to deposit the funds or securities into the Schwab Health Savings Brokerage Account (the "Account") according to the instructions on this Account Transfer Form.

If assets from the account I am transferring from are not readily transferable, with or without penalties, I understand that such assets may not be transferred within the timeframes required by NYSE Rule 412 or similar rule of the Financial Industry Regulatory Authority or other designated examining authority. In the event that some of the assets are not transferable to the Account, I understand that the Clearing Firm will transfer those assets that are transferable and the delivering firm will notify me regarding those that are not. As fractional shares of stock are nontransferable, all fractional shares should be liquidated by the delivering firm upon the transfer of the whole shares. The delivering firm may or may not charge a fee for this liquidation.

I understand that if the shares are in a dividend reinvestment plan, the plan will cease to exist and fractional shares of stock will be liquidated.

If the assets I am transferring are considered non-standard assets at the Clearing Firm, such as limited partnerships, I realize I will be charged setup and maintenance fees.

I authorize the transferor firm to deduct any outstanding fees from the credit balance in the transferring account. If the transferring account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees, I authorize the transferor firm to liquidate assets to the extent necessary to satisfy that obligation. If certificates or other instruments in the transferring account are in the transferor firm's physical possession, I instruct the transferor firm to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable the successor custodian to transfer them in its name for the purpose of sale, when and as directed me me. I understand that upon receiving a copy of this transfer instruction, the transferor firm will cancel all open orders for my transferring account on the transferor firm's books.

By my signature below, I certify that the information and instructions provided, and the elections made by and through this Account Transfer Form, are true and correct. The Clearing Firm may justifiably rely upon the instructions and elections made herein and is authorized to deposit the funds or securities in the manner provided by this transfer form.

Some mutual funds cannot be transferred, but must be liquidated and the cash proceeds transferred to the Schwab HSBA; the liquidation price of such assets is not determined by Lively, but is the responsibility of the firm holding such mutual funds prior to transferring assets to the Schwab HSBA.

ACCOUNT HOLDER SIGNATURE

DATE